

2100

PATENTS  
109140-0005U0500  
#4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(2151)

In Re The Application of:  
Rick Castanho et al.

Serial No.: 10/007,461

Filed: November 5, 2001

For: SYSTEM AND METHOD FOR  
SERVICE SPECIFIC NOTIFICA-  
TION

Examiner: Not yet assigned

Art Unit: Not yet assigned

RECEIVED

APR 11 2002

Technology Center 2100

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
March 8, 2002CERTIFICATE OF MAILING

I hereby certify that the following Information-Disclosure Statement is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on March 8, 2002.

  
Jeneen AdamoHonorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

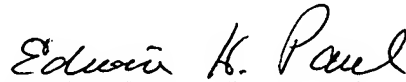
INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. It is understood that the Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,



---

Edwin H. Paul  
Reg. No. 31,405  
CESARI AND MCKENNA, LLP  
88 Black Falcon Avenue  
Boston, MA 02210-2414  
(617) 951-2500

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

**Complete if Known**

Application Number	10/007,461
Filing Date	November 5, 2001
First Named Inventor	Rick Castanho et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	109140-0005U

~~RECEIVED~~

APR 11 2002

Technology Center 2100

Sheet	1	of	1
-------	---	----	---

Attorney Docket Number	109140-0005U
------------------------	--------------

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known) ✓			
	1.	09/496,170		Delaney et al.	02-01-2000	
	2.	5,742,905		Pepe et al.	04-21-1998	
	3.	5,796,394		Wicks et al.	08-18-1998	
	4.	5,870,454		Dahlén	02-09-1999	
	5.	5,872,926		Levac et al.	02-16-1999	
	6.	5,875,436		Kikinis	02-23-1999	
	7.	5,903,830		Joao et al.	05-11-1999	
	8.	5,905,777		Foladare et al.	05-18-1999	
	9.	5,928,325		Shaughnessy et al.	07-27-1999	
	10.	5,940,475		Hansen	08-17-1999	
	11.	5,940,478		Vaudreuil et al.	08-17-1999	
	12.	5,956,681		Yamakita	09-21-1999	
	13.	5,960,406		Rasansky et al.	09-28-1999	
	14.	5,964,833		Kikinis	10-12-1999	
	15.	5,966,663		Gleason	10-12-1999	
	16.	6,014,429		LaPorta et al.	01-11-2000	

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**